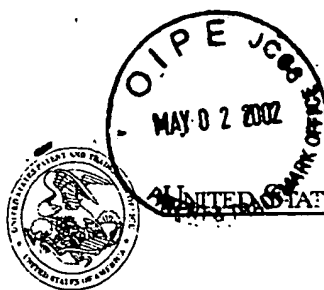


#3



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Page 1 of 1

MAIL DATE CANCELLED

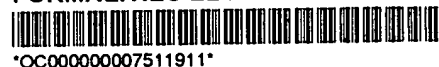
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/035,031	12/21/2001	Steve John Schray	061408.2004-102

CONFIRMATION NO. 9217

FORMALITIES LETTER



THOMAS O. HOOVER, ESQ.
BOWDITCH & DEWEY, LLP
161 Worcester Road
P.O. Box 9320
Framingham, MA 01701-9320

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10 10 2002 SECRET-1 2002/02 11:00:00

FILED UNDER 37 CFR 1.53(b)

10 10 2002

10 10 2002

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

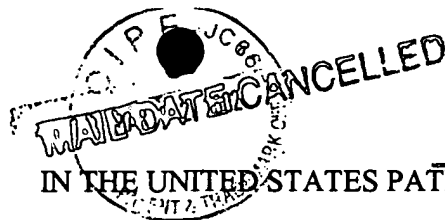
*A copy of this notice **MUST** be returned with the reply.*

J. Hernandez

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

PATENT APPLICATION
Docket No.: 061408.2004-102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Steven John Schray

Application No.:

10/035,031

Group Art: 2633

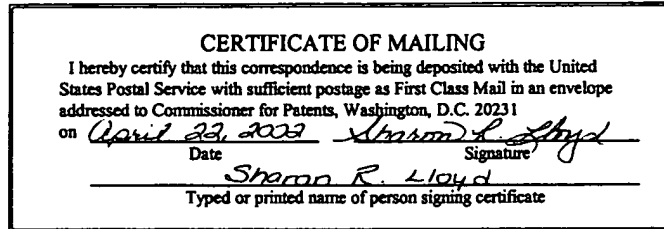
Filed:

December 21, 2001

Examiner: Not Assigned

For:

MODULE AND HOUSING FOR DWDM EQUIPMENT



REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated February 21, 2002, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☒ EXECUTED DECLARATION/POWER OF ATTORNEY
(Separate transmittal letter and postcard not required)
- ☒ FILING FEE – with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)
- ☒ SURCHARGE – surcharge fee of \$130 or 65.
(Separate transmittal letter and postcard not required)
- ☒ PRELIMINARY AMENDMENT – Filed concurrently and is attached.
(Separate transmittal letter and postcard not required)
- ☐ TRANSMITTAL OF SUBSTITUTE DRAWINGS
[] Sheets of substitute drawings consisting of Figs. 1 – [] are enclosed.
(Separate transmittal letter and postcard not required)

☐ PETITION FOR EXTENSION OF TIME

- ☐ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated for month(s) from to .

The appropriate fee of \$ is included in the enclosed check.

- ☐ A months extension of time to respond to the Notice to File Missing Parts dated was filed on with payment of a \$ fee.

- ☐ Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$ is included in the enclosed check.

(Separate transmittal letter and postcard not required)

- ☐ REQUEST FOR CORRECTED FILING RECEIPT – Filed concurrently and is attached.

(Separate transmittal letter and postcard not required)

- ☐ STATEMENT CLAIMING SMALL ENTITY STATUS

- ☐ Was filed on .

- ☐ Is enclosed herewith.

(Separate transmittal letter and postcard not required)

- ☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$ which were paid on to be deposited in Deposit Account No.50-1935.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$870.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

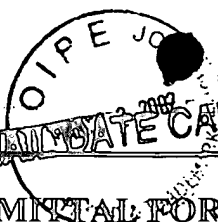
Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Monica Grewal
Monica Grewal
Registration No.: 40,056
Tel.: (508) 879-5700
Fax.: (508) 929-3073

Framingham, Massachusetts 01701

Date: April 22, 2002



BOWEN & DEWEY, LLP

DATE CANCELED

TRANSMITTAL FOR
PATENT APPLICATIONS

Attorney Docket Number	061408.2004-102
Application Number	10/035,031
First Named Inventor	Steven John Schray

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FIELD	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
0	TOTAL CLAIMS (37 CFR 1.16(b) or (j))	1- 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	1- 3** =	0	x \$ 84 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$740
				Total of above Calculations =	\$740
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$
	Surcharge – Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$ 0
	* Reissue claims in excess of 20 and over original patent				TOTAL = \$ 870
	** Reissue independent claims over original patent				

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired
- c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$870.00. ☐ Please charge \$[] to Deposit Account No.

4. ☐ Other: _____

Signature	<i>Monica Grewal</i>	Date	<i>April 22, 2002</i>
Submitted by Typed or Printed Name	Monica Grewal	Reg. Number	40,056

RECEIVED
MAY 02 2002
USPTO MAIL CENTER

B
**Bowditch
& Dewey**
ATTORNEYS

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Framingham, MA 01701-9320

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Washington, D.C. 20231

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